

## Detailed Description of the Invention

### *General*

5           In general, the present invention relates to the prevention of accumulation of microorganisms on any surface wherein such accumulation has a deleterious effect on human or animal health. In particular, the present invention relates to the prevention of those conditions affecting human or animal health that involve fouling. Fouling events involve recognition between a biologic and a surface, adhesion of the biologic to the surface, and the subsequent  
10 activity of the biologic. As understood herein, the formation of a biofilm is a type of fouling. Biofilms with health effects commonly contain infectious microorganisms.

          In a health-related environment, fouling can result in biofilm formation. Biofilm formation is understood to cause local contamination of an affected area with potential for invasive local infection and for systemic infection. Microorganisms may damage tissues in three  
15 ways: 1) they can enter or contact host cells and directly cause cell death; 2) they can release endotoxins or exotoxins that kill cells at a distance, release enzymes that degrade tissue components, or damage blood vessels and cause ischemic necrosis; and 3) they can induce host-cellular responses that, although directed against the invader, may cause additional tissue damage, including suppuration, scarring and hypersensitivity reactions. An infection, whether  
20 local or systemic, represents the penetration of microorganisms into a host with the production of tissue damage or the elicitation of host defense mechanisms or both, leading to clinically identifiable symptoms. Common local symptoms can include pain, tenderness, swelling and interference with function. Common systemic symptoms can include fever, malaise and hyperdynamic cardiovascular effects. Massive bloodstream invasion by infectious agents can  
25 rapidly become fatal.

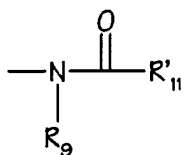
          When an infection has its origins in a biofilm surrounding an object in the body, whether a naturally occurring object or a foreign one, the infection often cannot be controlled without removing that object. If the object is naturally occurring, like devascularized or necrotic tissue, it is removed surgically via a process called debridement. If the object is a foreign one, such as a

medical device, it is removed entirely. At times a rim of tissue must be removed along with the contaminated object to ensure maximal removal of contaminating material. If the material being removed is essential for health, a similar article may need to be replaced in the same location; the replacement article will be especially prone to infection because of the residual microorganisms in the area.

### Definitions

For convenience, certain terms employed in the specification, examples, and appended claims are described below.

- 10       The term "acylamino" is art-recognized and refers to a moiety that can be represented by the general formula:



wherein  $\text{R}_9$  is as defined below, and  $\text{R}'_{11}$  represents a hydrogen, an alkyl, an alkenyl or  $-(\text{CH}_2)_m\text{-R}_8$ , where  $m$  and  $\text{R}_8$  are as defined below.

- 15       The terms "alkenyl" and "alkynyl" refer to unsaturated aliphatic groups analogous in length and possible substitution to the alkyls described below, but that comprise a double or triple bond, respectively.

- 20       The terms "alkoxyl" or "alkoxy" as used herein refers to an alkyl group, as defined above, having an oxygen radical attached thereto. Representative alkoxyl groups include methoxy, ethoxy, propyloxy, tert-butoxy and the like. An "ether" is two hydrocarbons covalently linked by an oxygen. Accordingly, the substituent of an alkyl that renders that alkyl an ether is or resembles an alkoxyl, such as can be represented by one of  $-\text{O-alkyl}$ ,  $-\text{O-alkenyl}$ ,  $-\text{O-alkynyl}$ ,  $-\text{O-}(\text{CH}_2)_m\text{-R}_8$ , where  $m$  and  $\text{R}_8$  are described below.

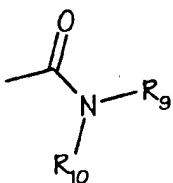
- 25       The term "alkyl" refers to the radical of saturated aliphatic groups, including straight-chain alkyl groups, branched-chain alkyl groups, cycloalkyl (alicyclic) groups, alkyl substituted

cycloalkyl groups, and cycloalkyl substituted alkyl groups. In preferred embodiments, a straight chain or branched chain alkyl has 30 or fewer carbon atoms in its backbone (e.g., C<sub>1</sub>-C<sub>30</sub> for straight chain, C<sub>3</sub>-C<sub>30</sub> for branched chain), and more preferably 20 or fewer. Likewise, preferred cycloalkyls have from 3-10 carbon atoms in their ring structure, and more preferably have 5, 6 or 7 carbons in the ring structure.

Moreover, the term "alkyl" (or "lower alkyl") as used throughout the specification and claims is intended to include both "unsubstituted alkyls" and "substituted alkyls", the latter of which refers to alkyl moieties having substituents replacing a hydrogen on one or more carbons of the hydrocarbon backbone. Such substituents can include, for example, a halogen, a hydroxyl, a carbonyl (such as a carboxyl, an ester, a formyl, or a ketone), a thiocarbonyl (such as a thioester, a thioacetate, or a thioformate), an alkoxyl, a phosphoryl, a phosphonate, a phosphinate, an amino, an amido, an amidine, an imine, a cyano, a nitro, an azido, a sulfhydryl, an alkylthio, a sulfate, a sulfonate, a sulfamoyl, a sulfonamido, a sulfonyl, a heterocyclyl, an aralkyl, or an aromatic or heteroaromatic moiety. It will be understood by those skilled in the art that the moieties substituted on the hydrocarbon chain can themselves be substituted, if appropriate. For instance, the substituents of a substituted alkyl may include substituted and unsubstituted forms of amino, azido, imino, amido, phosphoryl (including phosphonate and phosphinate), sulfonyl (including sulfate, sulfonamido, sulfamoyl and sulfonate), and silyl groups, as well as ethers, alkylthios, carbonyls (including ketones, aldehydes, carboxylates, and esters), -CF<sub>3</sub>, -CN and the like. Exemplary substituted alkyls are described below. Cycloalkyls can be further substituted with alkyls, alkenyls, alkoxys, alkylthios, aminoalkyls, carbonyl-substituted alkyls, -CF<sub>3</sub>, -CN, and the like.

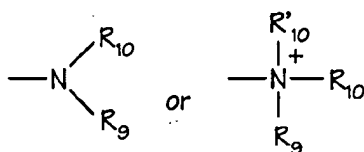
The term "alkylthio" refers to an alkyl group, as defined above, having a sulfur radical attached thereto. In preferred embodiments, the "alkylthio" moiety is represented by one of -S-alkyl, -S-alkenyl, -S-alkynyl, and -S-(CH<sub>2</sub>)<sub>m</sub>-R<sub>8</sub>, wherein m and R<sub>8</sub> are defined below. Representative alkylthio groups include methylthio, ethylthio, and the like.

The term "amido" is art recognized as an amino-substituted carbonyl and includes a moiety that can be represented by the general formula:



wherein  $R_9$ ,  $R_{10}$  are as defined below. Preferred embodiments of the amide will not include imides which may be unstable.

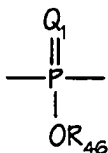
The terms "amine" and "amino" are art recognized and refer to both unsubstituted and substituted amines, e.g., a moiety that can be represented by the general formula:



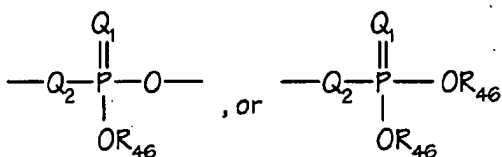
wherein  $R_9$ ,  $R_{10}$  and  $R'_{10}$  each independently represent a hydrogen, an alkyl, an alkenyl,  $-(CH_2)_m-R_8$ , or  $R_9$  and  $R_{10}$  taken together with the N atom to which they are attached complete a heterocycle having from 4 to 8 atoms in the ring structure;  $R_8$  represents an aryl, a cycloalkyl, a cycloalkenyl, a heterocycle or a polycycle; and  $m$  is zero or an integer in the range of 1 to 8. In preferred embodiments, only one of  $R_9$  or  $R_{10}$  can be a carbonyl, e.g.,  $R_9$ ,  $R_{10}$  and the nitrogen together do not form an imide. In even more preferred embodiments,  $R_9$  and  $R_{10}$  (and optionally  $R'_{10}$ ) each independently represent a hydrogen, an alkyl, an alkenyl, or  $-(CH_2)_m-R_8$ . Thus, the term "alkylamine" as used herein means an amine group, as defined above, having a substituted or unsubstituted alkyl attached thereto, i.e., at least one of  $R_9$  and  $R_{10}$  is an alkyl group.

The term "anti-fouling compound" as used herein refers those chemical formulations that impair, inhibit, prevent or retard biofouling. An anti-fouling ("AF") compound can be present in an acid form or as a salt thereof.

An "aprotic solvent" means a non-nucleophilic solvent having a boiling point range above ambient temperature, preferably from about 25°C to about 190°C, more preferably from about 80°C to about 160°C, most preferably from about 80°C to 150°C, at atmospheric pressure. Examples of such solvents are acetonitrile, toluene, DMF, diglyme, THF or DMSO.



wherein  $Q_1$  represented S or O, and  $R_{46}$  represents hydrogen, a lower alkyl or an aryl. When used to substitute, e.g., an alkyl, the phosphoryl group of the phosphorylalkyl can be represented by the general formula:



wherein  $Q_1$  represented S or O, and each  $R_{46}$  independently represents hydrogen, a lower alkyl or an aryl,  $Q_2$  represents O, S or N. When  $Q_1$  is an S, the phosphoryl moiety is a "phosphorothioate".

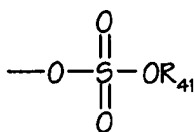
A "polar, aprotic solvent" means a polar solvent as defined above which has no available hydrogens to exchange with the compounds of this invention during reaction, for example DMF, acetonitrile, diglyme, DMSO, or THF.

A "polar solvent" means a solvent which has a dielectric constant ( $\epsilon$ ) of 2.9 or greater, such as DMF, THF, ethylene glycol dimethyl ether (DME), DMSO, acetone, acetonitrile, methanol, ethanol, isopropanol, n-propanol, t-butanol or 2-methoxyethyl ether. Preferred solvents are DMF, DME, NMP, and acetonitrile.

The terms "polycyclyl" or "polycyclic group" refer to two or more rings (e.g., cycloalkyls, cycloalkenyls, cycloalkynyls, aryls and/or heterocyclyls) in which two or more carbons are common to two adjoining rings, e.g., the rings are "fused rings". Rings that are joined through non-adjacent atoms are termed "bridged" rings. Each of the rings of the polycycle can be substituted with such substituents as described above, as for example, halogen, alkyl, aralkyl, alkenyl, alkynyl, cycloalkyl, hydroxyl, amino, nitro, sulfhydryl, imino, amido, phosphonate, phosphinate, carbonyl, carboxyl, silyl, ether, alkylthio, sulfonyl, ketone, aldehyde, ester, a heterocyclyl, an aromatic or heteroaromatic moiety,  $-CF_3$ ,  $-CN$ , or the like.

substituent, and that the substitution results in a stable compound, e.g., which does not spontaneously undergo transformation such as by rearrangement, cyclization, elimination, etc.

The term "sulfate" is art recognized and includes a moiety that can be represented by the general formula:

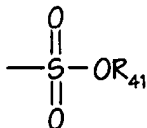


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in which  $R_{41}$  is as defined below.

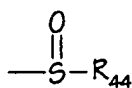
A "sulfate binding moiety" refers to a moiety that is capable of binding or otherwise associating with a sulfate or sulfonate group.

10 The term "sulfonate" is art recognized and includes a moiety that can be represented by the general formula:



in which  $R_{41}$  is an electron pair, hydrogen, alkyl, cycloalkyl, or aryl.

The terms "sulfoxido" or "sulfinyl", as used herein, refers to a moiety that can be represented by the general formula:



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in which  $R_{44}$  is selected from the group consisting of hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, heterocyclyl, aralkyl, or aryl.

20 Analogous substitutions can be made to alkenyl and alkynyl groups to produce, for example, aminoalkenyls, aminoalkynyls, amidoalkenyls, amidoalkynyls, iminoalkenyls, iminoalkynyls, thioalkenyls, thioalkynyls, carbonyl-substituted alkenyls or alkynyls.

The term "surface", as used herein, refers to any surface whether in an industrial or a medical setting, that provides an interface between an object and a fluid, permitting at least